

Supplementary Form for application for letter of credit guarantee

– to be enclosed with the bank’s application if the credit period exceeds 12 months.

(filled in by exporter)

Confirming bank

Date

Before filling in application – see separate instructions *

1. Exporter (beneficiary) *	Name		Organisation no.
	Postal Address		
2. Buyer (debtor)	Name (as in contract)		
	Postal Address		Land
3. Export product *			
4. Contract amount etc. in agreed currency *	a) Contract amount	b) Interest amount	c) Interest rate
5. Conditions of payment for export transaction *			
6. Degree of financing *	Part of export transaction that is financed		
7. Origin of products/ services included in export transaction *	a) Used goods		
	No Yes, wholly or partially. Specify in item 14.		
	b) Products/services included in export transaction produced in:		
	Country	Company (producer)	Purchase price

1.10e / 2024-02-23

Exportkreditnämnden / The Swedish Export Credit Agency

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Sweden

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Hemsida/
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www.ekn.se

Plusgiro
15 65 37-3

Bankgiro
330-1181

8. End user *	a) Where will the goods and/or services be used? <div style="display: flex; justify-content: space-around;"> The buyer's business (go to item 8c) Other business Unknown end user (go to item 8d) </div>	
	b) Is the end user a known company? If yes, supply information about the company/companies: Name Organisation-/Registration-/DUNS-number Address Country	
	c) Is the end user a specific business or a project? If yes, supply information about the business/project: Name Description Country GPS coordinates for the location where the goods/services will be used Choose industry sector from list of value or find list under Special instructions: The business phase: <div style="margin-left: 20px;"> <input type="checkbox"/> Existing operations <input type="checkbox"/> Material expansion of existing operations <input type="checkbox"/> New project </div>	
	d) Country/countries where the goods and/or services will be used (if not stated under item 8b and/or 8c)	
	e) Describe how the goods and/or services will be used (if not stated under item 8c)	
	f) Industry sector for the business where the goods and/or services will be used (if not stated under 8c). Choose industry sector from list of value or find list under Special instructions:	
9. Environmental matters *	a) The exporter has investigated the risk of serious environmental impact in the project or existing business operations where the goods and/or services are to be uses <div style="display: flex; justify-content: space-around;"> Yes No </div>	
	If yes, please describe how the investigation was conducted	If no, please describe why no investigation has been done <div style="margin-left: 20px;"> <input type="checkbox"/> Low risk of serious environmental impact where the goods and/or services are to be used <input type="checkbox"/> Other reason (Describe) </div>
	b) The project or existing business operations where the goods and/or services will be used pose a serious risk to or has a serious impact on the environment <div style="margin-left: 20px;"> <input type="checkbox"/> No <input type="checkbox"/> Yes (Describe) </div>	c) The activity where the goods and/or services will be used is conducted in or near environmentally sensitive areas <div style="margin-left: 20px;"> <input type="checkbox"/> No <input type="checkbox"/> Yes (Describe) </div>
	d) There are relevant positive environmental impacts? <div style="margin-left: 20px;"> <input type="checkbox"/> No <input type="checkbox"/> Yes (Describe) </div>	

10. Social matters *	a) The exporter has investigated serious risks and impacts on human rights and working conditions in the project or existing business operations where the goods and/or services are to be used. Yes No	
	If yes, please describe how the investigation was conducted:	If no, please describe why no investigation has been done Low risk of serious impacts on human rights and working conditions where the goods and/or services are to be used Other reason (Describe)
	b) The business activity where the goods and/or services will be used pose a serious risk to or has a serious impact on human rights and working conditions? No Yes (Describe)	
	c) There are relevant positive social impacts? No Yes (Describe)	
11. Debarment list *	Is the exporter, any group company involved ¹ or anyone else acting on behalf of the exporter included on World Bank's debarment list? If yes, provide information under item 14. (A link to the list may be found at www.ekn.se) Yes No	
12. Agents *	Is any agent involved, or will be involved, in the export transaction on behalf of the exporter? Yes No Name and corporate identity, if any Postal address Fee (% of the contract amount) Purpose of commissions and fees In which jurisdiction has commissions and fees been paid, or agreed to be paid?	

¹ See the definition of involved group company under item 11 in the Special instructions.

<p>13. Under charge/convicted or notice served of a suspicion of crime *</p>	<p>Has the exporter, any group company involved¹ or anyone else acting on behalf of the exporter, within a five-year period preceding the supplementary form, been (i) convicted in any court for bribery or similar criminal act, or (ii) subject to equivalent measures (such as resolutions of bribery violations using deferred prosecution agreements (DPAs) or non-prosecution agreements (NPAs), as well as those resulting from any formal admission or voluntary self reporting, where such measures exist) or (iii) found as part of a publicly available arbitral award to have engaged in bribery or a similar criminal act?</p> <p>And/or</p> <p>Is the exporter under charge, under formal investigation by a public prosecutor, or has the exporter been served with notice of suspicion of bribery or similar criminal act, or has the exporter knowledge of anyone else, natural or legal person acting on behalf of the exporter, being under such charge or formal investigation, or having been served with such notice?</p> <p>Yes, specify in item 14 No</p>
<p>14. Special and additional information to the previous items *</p>	<p>Give details of any foreign competition, specifying country and company (if known). In addition, information on the financing of the transaction, e.g. through the World Bank or other international bodies, should be provided here.</p>

¹ See the definition of involved group company under item 11 in the Special instructions.

Confirmation and undertaking by the exporter (equivalent)

It is hereby confirmed that the information on the export transaction submitted in this supplementary form is correct. In the event that it should be subsequently proved that the information is incorrect or misleading, or that we, without EKN's written consent, have taken such measures that the contents of the export transaction have been changed in relation to what has been specified above, we hereby undertake on request to compensate EKN to an amount corresponding to what EKN would be obliged to pay to the confirming bank under the EKN guarantee.

We also undertake, on request, to make available to EKN, or an external advisor engaged by EKN, our records and other documents relating to the guaranteed transaction and to disclose all such information as is available to us or which we are able to obtain and which may be of importance to EKN.

The supplementary form is subject to Swedish law.

Disputes relating to this supplementary form shall be determined by arbitrators in accordance with the Swedish law on arbitration in force at the time when the dispute arises.

The arbitration proceedings shall take place in Stockholm. The Swedish language shall be used in the arbitration proceedings and the arbitration award is to be written in Swedish.

Should the exporter wish to refer a decision taken by EKN to arbitration, he shall make such reference within twelve months of receipt of the decision. He shall forfeit his right of action in the event of failure to do so.

In the event that the arbitrators arrive at different conclusions with regard to value, the mean value shall apply.

The OECD has adopted a recommendation to combat bribery. Accordingly, and as provided by Swedish law on bribery etc. in both the public and private sector, EKN requests the exporter to make the following declaration.

We hereby declare that

- (i) we understand the importance of the development, application and documentation of an appropriate management control system to combat bribery;
- (ii) neither we, nor any group company involved or anyone else acting on our behalf, have given, or will give any bribe or other improper benefit in conjunction with the export transaction specified in the supplementary form;
- (iii) any commissions or fees paid, or agreed to be paid, to a natural or legal person acting on our behalf in relation to the transactions to which the supplementary form relates, is, or will be, for legitimate services only;
- (iv) we, upon request from EKN, immediately will compensate EKN for payments EKN has made to the guarantee holder – including interest from the time of each payment – if we, any group company involved, or anyone else acting on our behalf have given, or will give any bribe or other improper benefit in connection with the export transaction specified in the supplementary form; and
- (v) we will indemnify EKN for all its costs and expenses which will be the consequences of the fact that we, any group company involved or anyone else acting on our behalf, have given or will give any bribe or other improper benefit as described above.

Note that bribery in the cases stated here, among others, is a criminal offence under Swedish law; see Chapter 10, sections 5a–5e of the Penal Code.

Exporter's company name	Contact persons (preferably 2 names)
Signature	Telephone number (including area code)

FREEDOM OF INFORMATION AND CONFIDENTIALITY

Freedom of information applies at EKN. Information in public documents can only be treated as confidential by EKN if so provided by the Freedom of Information and Secrecy Act. Confidentiality applies to information about an individual party's business or operations if it may be assumed that the individual party will incur damage if EKN discloses an item of information. Certain information concerning third parties is subject to absolute confidentiality.

In case of projects classified by EKN in environmental and social category A and exceeding 10 million SDR² (Special Drawing Rights) and with credit period exceeding two years; EKN will make the Environmental and Social Impact Assessment (ESIA), or a summary of it, available to the public for at least thirty days before issuing a guarantee. This procedure is in line with the OECD recommendation on common approaches on environmental and social due diligence for export credit agencies. EKN will also disclose the above information to anyone requesting it during the guarantee period.

² Approx SEK 100 million.

Special instructions for completing the form

Item 1 *Exporter – beneficiary*

Beneficiary under the letter of credit.

Item 3 *Export product*

In respect of machinery, means of transport, apparatus, instruments and the equivalent, specify the number of each. If such products constitute a cohesive item of equipment (installation), this shall be apparent from the description of the product, in which case the number of individual products does not need to be specifically indicated. In the event spare parts and tools are included in the purchase agreement, the percentage share of the contract amount shall be specified.

Item 4 *Contract amount etc*

Contractual currency refers to the currency – or, where applicable, the currencies – in which payment shall be made in accordance with the contract. Use the standard currency codes (SEK, USD, GBP etc.).

Item 5 *Terms of payment*

Specify advance payment and at which dates payment is to be made for the export transaction. For credit periods of 24 months and longer, the OECD consensus regulations shall be applied, i.e. at least 15% in advance, and six-monthly/quarterly payments etc.

Item 6 *Degree of financing*

Specify the proportion of the contract amount that is to be financed.

Item 7 *Origin of products/services included in the export transaction*

If the export transaction, in addition to the exporter's own products, also contains finished products from other companies (manufacturers), Swedish or foreign, this shall be specified per country, company and purchase price for these products. If a large number of subcontractors are engaged, only the main ones needs to be specified here. The same applies to the export of various types of machinery, where the equipment of subcontractors and the exporter's own equipment is to form a complete plant.

Item 8 *End user*

- a) Other business e.g. when the buyer is a reseller or contractor to / in other business operation or project
- c) Specific business operations such as a specific mine, a factory or motorway construction.
Description of the Business/Project:
Example 1: The goods will be used at mine X located in the Y province.
Example 2: The goods will be used at the Y factory located in the city Z.
Example 3: The goods will be used in the construction of the motorway between the city Z and the city ZZ.
Example 4: The goods will be used in the mining sector in the YY region
The business phase:
– Greater expansion of existing operations refers to expansion / capacity increase / change.
– New project concerns new commercial, industrial or infrastructure projects.
- e) The specific business where the goods and/or services will be used may be unknown, but please describe what you know such as:
– they will be used within the mining sector in country X;
– they will be used in different businesses in region Y;
– the retailer will sell mostly to industry sector Z;
– the goods consist of spare parts or services to industry sector Z in country X

Industry sectors

This is a list of the risk industry sectors EKN have identified. If nothing applies to your transaction, please select “Other industry sector”.

- Other industry sector
- Waste management
- Mining and quarries
- Infrastructure and construction
- Agriculture, animal husbandry, forestry
- Chemical Industry
- Power generation, fossil energy (coal, oil, gas)
- Power generation, renewable energy (wind, sun, hydro, bio fuels)
- Power transmission including substations
- Military, coast guard
- Oil and gas extraction, petrochemical industry
- Steel industry
- Information and communications technology, surveillance
- Textile industry
- Manufacturing pulp and paper
- Water treatment and water supply

Item 9 *Environmental matters*

These questions also include information about business operations connected to the new project and necessary for the project development. Examples of connected business operations: a new harbour built in connection with a new mining project; forest areas that supply a new pulp mill with raw material; new roads built to a new industrial project (these businesses are not necessarily connected geographically to the new project).

- a–b) Examples: Impact on climate, air, water, land, resource consumption, biodiversity, sensitive natural areas and the impact of environmental effects on people and communities.
- c) Sensitive natural areas include, for example, national parks and other protected areas according to national or international law and sensitive natural areas such as wetlands, areas with high biodiversity and / or endangered species. Sensitive natural areas also refer to historical / cultural monuments as well as important areas for indigenous peoples or other vulnerable groups.
- d) d) For example: exports of products that reduce the impact on the climate, contributions to the UN’s sustainability goals (Agenda 2030).

Item 10 *Social matters*

- a) Examples: Risk of child labor, forced labor, discrimination, health and safety, as well as the right to freedom of association and collective bargaining, but also the companies’ influence on health and safety in the local area, relocation, indigenous people, cultural areas and freedom on the Internet.
- b) Examples: Pose a serious risk to or has a serious impact on human rights with particularly grave effects (child labour, forced labour, trafficking in human beings, threats to life), widespread (large-scale migration or working conditions in a sector), cannot be restored (torture, loss of health, loss of indigenous people) or is related to the project site (conflict or post-conflict areas)
- c) For example: exports of products that increase the number of jobs, contributions to the UN’s sustainability goals (Agenda 2030) and freedom on the net.

Item 11 *Debarment*

The question on debarment concerns not only the exporter, but also anyone acting on behalf of the exporter and any group company involved. This means enterprises belonging to the same group as the exporter that have been involved in the contract negotiations or otherwise been involved in the negotiations with the buyer.

Item 12 *Agents*

Agent refers to a party who is acting on behalf of the exporter.

Item 13 *Under charge/convicted or notice served of a suspicion of crime*

Note that, with regard to under charge or conviction, not only the exporter as a legal person is covered by this question; it also concerns the exporter's employees, employees of any group company involved or anyone else acting on behalf of the exporter.

The question on knowledge if any of notice of suspicion of criminal act refers to any other natural or legal person than the exporter in its capacity as a legal person.